

PTO/PCT Rec'd 25 JUL 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION

UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

BOX PCT

**COMPLETION
For PCT Cases Only**

In re PATENT APPLICATION of
Inventor(s): William Wade et al.

Attn: Application Division

Appln. No.: 09 720,078
Series Code ↑ Serial No. ↑

Atty. Dkt. P 0276517
M#

Client Ref

National Phase Field

Based on PCT US99 12825

↑ Country Code & Year

Title: Methods and Compositions for Modulating Antigen-Specific
Immunological (Humoral) Responses by Targeting Such
Antigen to APCs in Conjunction with Anti-CD40 Ligand
Administration

Date: July 25, 2001

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE

Asst. Commissioner of Patents
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ **Signed Declaration** ☒ Originals (2) ☐ Facsimile/Copy ☐ with spec/claims attached
3. ☐ **Translation** of the International Application into English including:
 - a. ☐ Request;
 - b. ☐ Abstract
 - c. ☐ pgs. Spec. and Claims;
 - d. ☐ Translation verification
 - e. ☐ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of **International Search Report (ISR)** attached (☐ page(s))
 - a. ☐ plus Annex of family members (☐ page(s))
5. **Information Disclosure Statement** including
 - a. ☐ From PTO-1449 listing documents
 - b. ☐ Copies of document(s) listed on Form PTO-1449
 - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☐ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. ☐ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: ☐ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))

11. ☐ Attached:12. ☐ Preliminary Amendment:13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 2515. **CLAIMS FEES** ☐ previously paid ☐ paid herewith as follows:15A. Small Entity Statement ☐ Herewith ☒ Previously Filed

			Large/Small Entity		Fee Code
16. Total Effective Claims		minus 20 =	x \$18/\$9	+0	966/967
17. Independent Claims		minus 3 =	x \$80/\$40	+0	964/965
18. If <u>any proper</u> multiple dependent claim (ignore improper) is present,			\$270/\$135	+0	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now			\$130/\$65	+65	154/254
SUBTOTAL				\$65	
20.					
21. Original due date: February 29, 2001					
22. Petition is hereby made to extend the <u>original</u> due date to			(1 mo)	\$110/\$55 =	+945
cover the date this response is filed for which the requisite fee			(2 mos)	\$390/\$195 =	115/215
is attached			(3 mos)	\$890/\$445 =	116/216
			(4 mos)	\$1390/\$695 =	117/217
			(5 mos)	\$1890/\$945 =	118/218
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee			\$130	+0	128/228
24. If "assignment" box 6 is X'd, add recording fee.			\$40	+0	156
TOTAL FEE ENCLOSED =				\$1,010	581

25.

(Our Deposit Account No. 03-3975)

(Our Order No. 021033 | 0276517)

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

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